

REPORT TO: Business Efficiency Board

DATE: 24 July 2019

REPORTING OFFICER: Strategic Director - Enterprise Community & Resources

PORTFOLIO: Resources

SUBJECT: Annual Review of Corporate Risk Register 2019/20

WARD(S) Borough-wide

1.0 PURPOSE OF THE REPORT

1.1 To provide an annual review update for the risk control measures as identified in the Corporate Risk Register for 2019/20.

2.0 RECOMMENDATION: That the update of actions be noted.

3.0 SUPPORTING INFORMATION

3.1 The report contains an annual review of the measures in managing the corporate risks for this year and will be taken to the Business Efficiency Board in order to determine the adequacy of arrangements.

3.2 The Council recognises that it has a responsibility to manage both internal and external risks as a key component of good corporate governance, this document being one of many processes and activities making a contribution to this management.

3.3 Risk is defined as being the threat that an event or action will adversely affect an organisation's ability to achieve its objectives and to successfully execute its strategies. Risk Management is defined as the process by which risks are identified, evaluated and controlled.

3.4 At Directorate level arrangements are in place for the high-risk mitigation measures on the Directorate Risk Registers to be reviewed and updated at mid-year in line with Directorate Business Plans. Progress on these is reported to Management Team and Policy and Performance Boards.

3.5 Together with consultation with internal stakeholders, Directorate Risk Registers are central to any reviews and updates of the Corporate Risk Register. This ensures that the council maximises its

opportunities whilst minimising and controlling the associated risks in delivering the council's vision and services for Halton.

3.6 The Risk Control Measures have been reviewed and updated in line with current changes within the Authority and as proposed by managers and internal stakeholders.

3.7 The risks have been grouped in order of priority and the scores relate to 'Unmitigated Risk Scores' and then to 'Mitigated Risk Scores'. The review includes the introduction of a higher scoring risk matrix and the priority has been given to risks around people and the headings and scores in order are:

- i. European Union Exit (25:20)
- ii. Delivery of Services to Vulnerable Adults (20:16);
- iii. Safeguarding Children and Adults (25:20);
- iv. Cyber Risk (25:20)
- v. Data Security (25:15)
- vi. Capacity and Resilience (20:16);
- vii. Budget Reductions (25:20);
- viii. Keeping Halton Community Safe (15:12);
- ix. Changes to Government Arrangements (25:15);
- x. Mersey Gateway (15:10);
- xi. Community Expectations (20:12);
- xii. Partnerships (9:4);
- xiii. Fraud (16:6); and
- xiv. Funding and Income Generation (16:12) (20:16).

4.0 **POLICY IMPLICATIONS**

4.1 To provide a framework through which effectively manages the actual and potential opportunities and threats that may affect the achievement of the Council's strategic priorities and operational objectives.

5.0 **FINANCIAL IMPLICATIONS**

5.1 There are no financial implications.

6.0 **IMPLICATIONS FOR THE COUNCIL'S PRIORITIES**

6.1 **Children & Young People in Halton**

There are no direct implications on the Council's 'Children & Young People in Halton' priority.

6.2 **Employment, Learning & Skills in Halton**

There are no direct implications on the Council's 'Employment, Learning & Skills in Halton' priority

6.3 **A Healthy Halton**

There are no direct implications on the Council's 'A Healthy Halton' priority

6.4 **A Safer Halton**

There are no direct implications on the Council's 'A Safer Halton' priority

6.5 **Halton's Urban Renewal**

There are no direct implications on the Council's 'Halton's Urban Renewal'.

7.0 **RISK ANALYSIS**

7.1 Failure to review and monitor the performance of the Corporate Risk Management could result in service development opportunities being lost and existing service delivery being compromised.

8.0 **EQUALITY AND DIVERSITY ISSUES**

8.1 Within the risk register there are a number of implications for Equality and Diversity issues, e.g. Budget Reductions, Capacity and Resilience.

9.0 **LIST OF BACKGROUND PAPERS UNDER SECTION 100D OF THE LOCAL GOVERNMENT ACT 1972**

None